The role of real-time forecasting models in mitigating the impacts of flooding

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- MAKING THE CASE
- THE DRIVERS
- THE DATA ISSUE
- MODELING
- REAL-TIME FORECASTING
  TOOLS
- LESSONS LEARNED

## The world's freshwater: HOW MUCH WE HAVE?

#### Lakes and rivers

- 41,000 km<sup>3</sup>
- The James Bond phenomenon
  - .007

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#### **EASILY ACCESSIBLE WATER**



#### TRENDS OF NON-SUSTAINABLE WATER USE [1000 KM3/YR]





# MAKING THE CASE

#### Number of natural disaster events since 1900 to 2007





## **NEGATIVE IMPACT**

# GLOBAL WATER SCLEISSUES

Is the cycle changing? Increased risks? • Growing vulnerability? More disasters ? Less water for people? Crisis is looming? What crisis? • Resource? Governance? Global or local?



## Water cycle

## No begin and no end!

Maurits Cornelis Frans Escher 📠



# Simplicity

Johann Wolfgang von Goethe (1749-1832)

Everything is simpler than you think and at the same time **more** complex than you imagine



# THE DRIVERS

## **KEY CHANGES SINCE 1900**

- The world's population has increased 3-fold
- Water withdrawal has increased 6-fold
- The area of cropland has almost doubled
- The area of pasture has decreased by about 75%
- The area covered by tropical forests has decreased by about 25%.
- Dams now intercept ca. 40% of the runoff from the continents

# World Cities exceeding 5 million residents 1950

Source: U.N. Population Division

# World Cities exceeding 5 million residents 2015

Source: U.N. Population Division

## Global change drivers:

- Population growth, movement, migration and age structures
- Geo-political changes and realignments
- Trade and subsidies
- Technological changes
- Climate change





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## SUPERIMPOSED ON THIS ...

# WATER AND CLIMATE

# HEADLINE NEWS!!!!!

# The climate is changing !!!

(Yap, for 4 billion years now ...)





Fig. 3 Times series plot of the temperature in Greenland, as reconstructed from the GISP2 Ice Core (Alley 2000, 2004; temperature departures from the most recent value, which is -31.6°C; data from ftp.ncdc.noaa.gov/pub/data/paleo/icecore/greenland/summit/gisp2/isotopes/gisp2\_temp\_accum\_alley20 00.txt): (a) during the Holocene (current interglacial period), with marking of the most prominent recent lows and highs; and (b) the entire record with marking of the most prominent abrupt warming and cooling episodes (in a transient period between the current interglacial and the last glacial period) that ended with the Younger Dryas cool period.

## THE GREAT MIGRATION WAVES OF THE PAST 100,00 YEARS

#### HUMAN DEVELOPMENT AND GLACIAL-INTERGLACIAL CYCLING



# The Earth System: Coupling the Physical, Biogeochemical and Human Components







**Figure 47.** Annual mean temperature (°C), from 1822 to 2001, at: (*top*) Central Park in New York City; and (*bottom*) US Military Academy, West Point, NY. From Daly (2003).

## Climate change: What do we know?

### Global Mean Temperature have increased

Greenhouse Gases play a role

 Reducing Emissions alone will not avoid impacts



### Climate change is effecting our environment, our societies and our cultures

#### **Projected Impacts of Climate Change**



(Source: IPCC)

Water hazards and related nexi are major challenges

- Intensifying and increasing occurrence of water related hazard in many part of the world
- Serious concern on climate change such as extreme hydrologic events and sea level rising



### Major floods and droughts worldwide







**Flood** 

💁 Drought

Peru





Kenya

There is pressing need to develop advanced risk management on water hazard in order to secure human life and ensure sustainable socioeconomic development and poverty alleviation.

#### Flood Disaster in Pakistan (August, 2010)









#### Flood Disaster in Korea (September 21, 2010)





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#### Rio de Janneiro, Brasil (January, 2011)



#### Flood Disaster in Brisbane, Australia (January, 2011)











**FLOODS** 

The second second

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# I DON'T BELIEVE IN

# FLOOD LOSSES IN FUNCTION OF GDP

Map 10.3 Impact of flood losses (comparative losses based on national GDP)



Note: Deciles refer to the level of risk, normalized for comparing 10 categories. Source: Based on Dilley et al. 2005.



## ... unpleasant surprises ...



#### Fukuoka Flash Flood in 1999





- □ Urban expansion taking place downward → Underground flood risk
- Recent developments -> Long term risks are not experienced



Volume of water entered into underground space:

•2,017 m3 (simulated volume)
•1,320 m3 (total pumped water

#### (Source: Herat, UNU)



#### Fukuoka flash flood simulation







NOT TOO MUCH HOPE ...

#### UNLESS POLITICAL LEADERS STICK TO THE PARIS AGREEMENT



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# CLIMATE CHANGE IS ALL ABOUT WATER



# KEY TO SUSTAINABILITY:

# CLIMATE ADAPTIVE WATER STRATEGIES



# DO WE HAVE A CHOICE?

# WE NEED TO INCREASE THE RESILTENCE OF OUR SYSTEMS

# ADAPTATION

## RESILIENCE









## **Economy-wide impacts**



#### Rainfall & GDP growth: Zimbabwe 1978-1993





#### Ethiopia: 1982 - 2000



## **Global change impacts**

- Global change is more than global climate variability/change
- It has natural PLUS human/social dimensions
- A constellation of changes, many global in domain

For example, we see large changes in:





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# STATIONARITY IS DEAD

### New technologies are needed



#### Expected Impacts of Global Changes on Water Resource:

# WILL WE HAVE MORE FLOODS ?



## Outline

- Data issues
- Basic definitions and problems
- Overview of real-time operational hydrological forecasting models
- State space analysis: Discrete models
- Structural-stochastic recursive models
- Lessons learned and outlook

# THE DATA ISSUE

# **IF YOU CAN'T MEASURE IT REAL TIME** AND IF YOU DON'T HAVE THE **RIGHT DIGITAL** TECHNOLOGY YOU CAN'T MANAGE IT

# Remotely sensed data













(Source: D. Solomatine)



# 

Earth observation, monitoring

#### Numerical Weather Prediction Models

Data modelling, integration with hydrologic and hydraulic models Decision support

Access to

modelling

results



So urce: D. Solomatine

1000 m









**Stack** 





## BIG DATA



Data revolution:

Terra bytes Petabytes Exabytes ... Terra Hertz speed

MODELING





## Modeling is the heart ...

Technologies support the whole information cycle, and *integrate data, models, and humans* 

$$\frac{\partial Q}{\partial t} + \frac{\partial}{\partial x} \left( \frac{Q^2}{A} \right) + gA \frac{\partial h}{\partial x} - gAS_o + gAS_f = 0$$







High Precision Earth Systems Tools •Satellite data •Data assimilation •Simulation models •Geospatial analysis / GIS Huge progress but...







# Our capacity to monitor remains limited

Map 13.1 Distribution of Global Runoff Data Centre streamflow gauges



Source: Global Runoff Data Centre (http://grdc.bafg.de/).

# What is forecasting?



*"Though this be madness, yet there is a method in it" (Shakespeare)* 

# What does forecasting really mean?

Speaking about probabilities


### Forecasting is a difficult thing, particularly when it concerns the future (attributed to Niels Bohr)





#### Double faced lanus, the Greek God

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#### **Basic definitions and problems**

Forecasting vs. Statistical prediction



•Real-time operational forecasting is very much a time-critical exercise

•The forecast paradox





#### **Basic requirements:**

- Consider the underlying physical principles
   through appropriate structures
- Consider stochasticity
- Have a minimum number of free parameters to estimate
- Build up in a modular fashion to match complex network topologies
- Provide information on the reliability of the forecast in function of the lead time
- Be fast as forecasting is a time critical exercise

- The models must be mathematically tractable and yet robust
- Adapt to the changing hydrological conditions by having an internal updating mechanism
- Provide uncertainty analysis
- Have minimum site dependence
- Set up of more complex models from simple ones by mapping the topology of a river system in a modular fashion



Overview of real-time operational hydrological forecasting models

# Problems of the Week

Everything should be made as simple as possible, but not simpler.

Albert Einstein

- All our trouble started in 1932 with the UH
- Effective rainfall does not exist in reality
- Nobody observed that IUH as such does not exist





- Where to go?
- Conceptual models / state variables
- Complex vs. simplified
- Time series models / stochastic IUH
- THE WAR: deterministic vs. stochastic –
   Who is right?



# A brokered marriage: can it be a good compromise?

Can we arrange a marriage between things of the two schools that are good and rejecting at the same time those things that are not good?;

Can we work on developing combined structural – stochastic models that are applicable for operational forecasting use?

### **Overview of approaches**

- Unit hydrograph
- Soil moisture accounting
  - Coaxials
  - Explicit
  - Implicit

Simplified physical models Time series analysis based approaches Combined models





#### Antecedent Moisture/Precipitation Index



#### **API based coaxials**



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# External vs. Internal descriptions



## Constrained Linear System (CLS) (Todini and Wallis)



API dependent threshold
Two IUHs



#### **SACRAMENTO** rainfall-runoff model



#### HBV model



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## Sugawara's TANK model

(a)

Xs

(b)

Xр





# (Implicit soil-moisture accounting)

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# External vs. SIMPLIFIED internal description



*"Make things simple, but no simpler" (Einstein)* 

## Making things simple

An introduction to the anatomy of cows: Chopping hydrodynamics down to its bones



#### The linear kinematic wave as cow skeleton

Peel the various layers of the hydrodynamic equation off until the principal structure appears



Explains a large portion of the runoff phenomena in terms of its variance

#### **Cascade models**







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#### **Discretization in space**



## Linear kinematic wave

$$u(t) \rightarrow \underbrace{x_1(t)}_{x_2(t)} \underbrace{k x_2(t)}_{x_2(t)} \underbrace{k x_2(t)}_{x_2(t)} \underbrace{k x_n(t)}_{x_n(t)} \underbrace{k x_n(t)}_{x_n(t)} = y(t)$$

Kalinin-Milyukov-Nash (KMN) cascade

$$h(t) = \frac{1}{K} \left(\frac{t}{K}\right)^{n-1} \frac{1}{(n-1)!} e^{-t/K}, \qquad t \ge 0$$



# Impulse responses of the continuous KMN-cascade



n = 1,2, ...,6 K = 0.1, 0.2, 0.4, 0.8 days



# $\dot{\mathbf{x}}(t) = \mathbf{F}\mathbf{x}(t) + \mathbf{G}\mathbf{u}(t)$ $\mathbf{y}(t) = \mathbf{H}\mathbf{x}(t)$





## State space analysis: The Discrete Linear Cascade Model (DLCM)

# From KNM to DCM: time discretisation

- Discrete coincidence
- Dynamic changes between sampling points
- Transitivity

$$\begin{bmatrix} \dot{x}_{1}(t) \\ \dot{x}_{2}(t) \\ \dot{x}_{3}(t) \\ \vdots \\ \dot{x}_{n}(t) \end{bmatrix} = \begin{bmatrix} -k & & & 0 \\ k & -k & & \\ & k & -k & & \\ & & \ddots & \ddots & \\ 0 & & & k & -k \end{bmatrix} \begin{bmatrix} x_{1}(t) \\ x_{2}(t) \\ x_{3}(t) \\ \vdots \\ x_{n}(t) \end{bmatrix} + \begin{bmatrix} 1 \\ 0 \\ 0 \\ \vdots \\ 0 \end{bmatrix} u(t)$$

 $\dot{\mathbf{x}}(t) = \mathbf{F}\mathbf{x}(t) + \mathbf{G}u(t)$ 

$$y(t) = [0, 0, ..., k] \begin{bmatrix} x_1(t) \\ x_2(t) \\ x_3(t) \\ \vdots \\ x_n(t) \end{bmatrix}$$

$$y(t) = \mathbf{H}\mathbf{x}(t)$$

#### $\boldsymbol{\Sigma}_{KMN} = (\mathbf{F}, \mathbf{G}, \mathbf{H})$

$$h(t) = k(tk)^{n-1} \frac{1}{(n-1)!} e^{-tk}$$
$$\Phi(t) = e^{t\mathbf{F}} = \begin{bmatrix} e^{-tk} & 0 & 0 & \cdots & 0\\ tke^{-tk} & e^{-tk} & 0 & \cdots & 0\\ \frac{(tk)^2}{2!}e^{-tk} & tke^{-tk} & e^{-tk} & 0 & \vdots\\ \vdots & \vdots & \ddots & \ddots & 0\\ \frac{(tk)^{n-1}}{(n-1)!}e^{-tk} & \frac{(tk)^{n-2}}{(n-2)!}e^{-tk} & \cdots & tke^{-tk} & e^{-tk} \end{bmatrix}$$

$$\mathbf{x}(t + \Delta t) = \mathbf{\Phi}(t + \Delta t, t)\mathbf{x}(t) + [\int_{t}^{t + \Delta t} \mathbf{\Phi}(t + \Delta t, \tau)\mathbf{G}(\tau)d\tau]\mathbf{u}(t)$$

$$\begin{aligned} \mathbf{x}_t &\triangleq \mathbf{x}(t) \\ \mathbf{u}_t &\triangleq \mathbf{u}(t) \\ \mathbf{\Phi}_t(\Delta t) &\triangleq \mathbf{\Phi}(t + \Delta t, t) \\ \mathbf{\Gamma}_t(\Delta t) &\triangleq \int_t^{t + \Delta t} \mathbf{\Phi}(t + \Delta t, \tau) \mathbf{G}(\tau) d\tau \end{aligned}$$
$$\mathbf{x}_{t + \Delta t} = \mathbf{\Phi}_t(\Delta t) \mathbf{x}_t + \mathbf{\Gamma}_t(\Delta t) \mathbf{u}_t$$

$$\mathbf{y}_t = \mathbf{H}\mathbf{x}_t$$

$$\mathbf{x}_{t+\Delta t} = \mathbf{\Phi}(\Delta t)\mathbf{x}_t + \mathbf{\Gamma}(\Delta t)u_t$$

$$\mathbf{\Phi}(\Delta t) \triangleq \mathbf{\Phi}(t + \Delta t, t) = e^{(t + \Delta t - t)\mathbf{F}} = e^{\Delta t\mathbf{F}}$$

$$\boldsymbol{\Gamma}_t(\Delta t) = \int_t^{t+\Delta t} \boldsymbol{\Phi}(t+\Delta t-\tau) \mathbf{G}(\tau) d\tau$$

$$\Phi(\Delta t) = \begin{bmatrix} e^{-\Delta tk} & 0 & 0 & \cdots & 0\\ \Delta tke^{-\Delta tk} & e^{-\Delta tk} & 0 & \cdots & 0\\ \frac{(\Delta tk)^2}{2!}e^{-\Delta tk} & \Delta tke^{-\Delta tk} & e^{-\Delta tk} & 0 & \vdots\\ \vdots & \vdots & \ddots & \ddots & 0\\ \frac{(\Delta tk)^{n-1}}{(n-1)!}e^{-\Delta tk} & \frac{(\Delta tk)^{n-2}}{(n-2)!}e^{-\Delta tk} & \cdots & \Delta tke^{-\Delta tk} & e^{-\Delta tk} \end{bmatrix}$$

$$\Gamma(\Delta t) = \begin{bmatrix} (1 - e^{-\Delta tk})/k \\ [1 - e^{-\Delta tk}(1 + \Delta tk)]/k \\ [1 - e^{-\Delta tk}(1 + \Delta tk + \frac{(\Delta tk)^2}{2})]/k \\ \vdots \\ (1 - e^{-\Delta tk}\sum_{j=0}^{n-1} \frac{(\Delta tk)^j}{j!})/k \end{bmatrix}$$

#### **Generalizations for lateral inflows**





## Learn from your errors – if you can!



*"From error to error one discovers the entire truth." (Freud)* 

#### Learn from your errors

#### An arranged marriage between Structure and Chance

## A brokered marriage: can it be a good compromise?

Can we arrange a marriage between things of the two schools that are good and rejecting at the same time those things that are not good?;

Can we work on developing combined structural – stochastic models that are applicable for operational forecasting use?

## Work programme:

Arrange a marriage between things of the two schools that are good and rejecting at the same time those things that are not good;

Work on developing combined structural – stochastic models that are applicable for operational forecasting use

# Batch vs. recursive estimates

Noisy potato measurements

$$z_{\tau} = x + v_{\tau}, \tau = 1, 2, \dots, t$$



$$\hat{x}_t = \frac{1}{t} \sum_{\tau=1}^{t} z_{\tau}$$



# From old to new with updating...

- {new estimate} = {old estimate} & {new measurement data}
- {a posteriori} = {a priori} & {new information}

$$\hat{x}_{t+1} = \hat{x}_t + \frac{1}{t+1} (z_{t+1} - \hat{x}_t)$$

The innovation/error is fed back to the old estimate to get the new one. This feedback mechanism is really the essence of updating.

 $\hat{x}_{t+1} = \hat{x}_t + K_{t+1} v_t$ 

#### Deterministic forecast by DLCM

•If errors have memory, they have their own dynamics that could be modeled and forecasted.

• If there is no internal correlation in a time series, it simply cannot be forecasted.





# Autocorrelation functions of noise types



Sample autocorrelation function of the DLC one-dayahead error sequence showing Markovian characteristics



The Kalman filter also functions as a predictorcorrector algorithm connecting a priori and a posteriori estimates through the information that is brought in by the new measurements



$$\begin{split} \stackrel{\wedge^*}{\mathbf{x}}_{t|t-\Delta t} &= \Phi^*(\Delta t) \stackrel{\wedge^*}{\mathbf{x}}_{t-\Delta t|t-\Delta t}^* + \Gamma^*(\Delta t) u_{t-\Delta t} \\ \mathbf{P}^*_{t|t-\Delta t} &= \Phi^*(\Delta t) \mathbf{P}^*_{t-\Delta t|t-\Delta t} \Phi^{*T}(\Delta t) + \Lambda^* Q_{t-\Delta t} \Lambda^{*T} \\ \mathbf{K}^*_t &= \mathbf{P}^*_{t|t-\Delta t} \mathbf{H}^{*T} [\mathbf{H}^* \mathbf{P}^*_{t|t-\Delta t} \mathbf{H}^{*T} + R_t]^{-1} \\ \stackrel{\wedge^*}{\mathbf{x}}_{t|t} &= \stackrel{\wedge^*}{\mathbf{x}}_{t|t-\Delta t} + \mathbf{K}^*_t [z_t - \mathbf{H}^* \stackrel{\wedge^*}{\mathbf{x}}_{t|t-\Delta t}] \\ \mathbf{P}^*_{t|t} &= [\mathbf{I}_{n+\mu} - \mathbf{K}^*_t \mathbf{H}^*] \mathbf{P}^*_{t|t-\Delta t} \end{split}$$



**One-day-ahead** forecasts of the Kalman-filtered **DLCM** structural and AR(1) autoregressive (stochastic) combined model with the forecast error standard deviation (+/-  $\sigma$ )

One-day-ahead forecast error sequence.



**Autocorrelation** function of the Kalman-filtered **DLCM** and AR(1) autoregressive combined model (White Gaussian **Noise sequence** at 95 % Confidence Level)



### Where do we go from here?



LESSONS

#### **Eight lessons learned:**

- Firstly, a forecasting model should always encapsulate, even if in a strongly simplified manner, the physics of the processes involved.
- Secondly, a forecasting model should always encapsulate the treatment of the unavoidable uncertainties as well.
- Thirdly, the deterministic structural part and the stochastic part, which describes the dynamics of the errors of the previous part, need to be coupled in combined forecasting models.



#### **Eight lessons learned:**

- Fourthly, forecasts are to be updated through error feedback whenever a new piece of relevant information becomes available.
- Fifthly, there is no unique forecasting model.
- Sixthly, there is no best forecasting model.
- Seventhly, in operational hydrological forecasting backup systems are always needed (see Murphy's extended relevant Laws).

#### **Eight lessons learned:**

 Eighthly, never fully trust your model but trust your oldest technician in the Forecasting Center. Models are excellent decision support tools, yet the human operator should never be excluded from the process of issuing forecast.

#### The Eight Laws of Hydrological Forecasting (Modified After Mr. Murphy)

§ 1 The flood always hits at Sunday 02:00 AM when there is nobody in the forecasting center.

§ 2 If § 1 does not apply than the flood comes when the staff is windsurfing on the nearby lake.

§ 3 If one is lucky one meets only once in a life time the flood that is greater than the design flood.

#### The Eight Laws of Hydrological Forecasting

§ 4 If one is unlucky this happens regularly.

§ 5 The 100-year return period flood returns every ten years minimum twice.

§ 6 When the Big Flood comes the on-line data collection system fails within minutes.

§ 7 When the Big Flood comes all our precious hardware breaks down in maximum *K* hours, where *K* is one fifth of the concentration time of the catchment.

#### The Eight Laws of Hydrological Forecasting

§ 8 The probability of the joint occurrence of unfixable computer bugs in the code of our forecasting model and the Big Flood is one.

### **Final lessons:**

- Model development and usage is only a small fraction of the costs of establishing and running an operational hydrological forecasting system.
- Models play the same role as the heart does in the human body. Small but one just cannot live without them.



## SO, WILL THERE BE ENOUGH WATER IN THE 21<sup>ST</sup> CENTURY?



## IS MORE TECHNOLOGY THE ANSWER?



#### It is part of the answer only ....

- We need to generate.
- The political will to ...
- The capacity to ...

### **DO IT RIGHT**

**DO IT RIGHT** 

**DO IT** 

• The resources to ... NOW



## 17 Sustainable Development Goals


## WATER CONNECTS THE SDGs



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